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(703) 816-4025**FACSIMILE COVER SHEET**
PLEASE DELIVER IMMEDIATELY!!!!

Atty Dkt.: 4010-30
Your Ref.: Serial No. 10/616,226 Date: October 2, 2008

To: Examiner Vyas, Abhisek- TC/A.U. 3609
Firm: USPTO
Facsimile No.: (571) 273-8300
From: John R. Lastova

Number of Pages (including cover sheet): 16
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PLEASE CONTACT US IMMEDIATELY AT (703-816-4000).

Mary A. Allgood
FACSIMILE OPERATOR

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper and any noted attachments are being transmitted by facsimile to the Patent and Trademark Office on October 1, 2008.

/John R. Lastova/
John R. Lastova

ATTACHMENT/S: **RESPONSE TO NOTICE OF NON-COMPLIANT
AMENDMENT;
AMENDMENT (To Notice mailed September 5, 2008)**

MESSAGE:

In re Patent Application of:

LUNDBERG et al.
Serial No. 10/616,224
Filed: July 10, 2003
For: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

CONFIDENTIALITY NOTE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty JRL-4010-30

Dkt.

C# M#

LUNDBERG et al.

TC/A.U. 3609

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OCT 02 2008

Serial No. 10/616,224

Examiner: Vyas, Abhisek

Filed: July 10, 2003

Date: October 2, 2008

Title: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

RESPONSE AMENDMENT

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☐ **Correspondence Address Indication Form Attached.****Fees are attached as calculated below:**

Total effective claims after amendment	13	minus highest number	
previously paid for	20 (at least 20) =	0 x \$50.00	\$0.00 (1202)/\$0.00 (2202) \$

Independent claims after amendment	5	minus highest number	
previously paid for	5 (at least 3) =	0 x \$210.00	\$0.00 (1201)/\$0.00 (2201) \$

If proper multiple dependent claims now added for first time, (ignore improper); add
\$370.00 (1203)/\$185.00 (2203) \$

Petition is hereby made to extend the current due date so as to cover the filing date of this
paper and attachment(s)
One Month Extension \$120.00 (1251)/\$60.00 (2251)
Two Month Extensions \$460.00 (1252)/\$230.00 (2252)
Three Month Extensions \$1050.00 (1253)/\$525.00 (2253)
Four Month Extensions \$1640.00 (1254)/\$820.00 (2254)
Five Month Extensions \$2,230.00 (1255)/\$1115.00 (2255) \$

Terminal disclaimer enclosed, add
\$130.00 (1814)/\$65.00 (2814) \$

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee	\$180.00 (1806)	\$	0.00
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Assignment Recording Fee	\$40.00 (8021)	\$	0.00
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Other:		\$	0.00
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TOTAL FEE	\$	0.00
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☒ **CREDIT CARD PAYMENT FORM ATTACHED.**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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JRL:maa

NIXON & VANDERHYE P.C.
By Atty: John R. Lastova, Reg. No. 33,149

Signature: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED
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In re Patent Application of

LUNDBERG et al.

Atty. Ref.: 4010-30

Serial No. 10/616,224

TC/A.U.: 3609

Filed: July 10, 2003

Examiner: Vyas, Abhisek

For: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

* * * * *

October 2, 2008

Mail Stop Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

This is in reply to the above-captioned Notice dated September 5, 2008 indicating the Amendment filed April 24, 2008 is non-compliant in that the claims in the amendment have not been provided with the proper status identifier. More specifically, claim 2 was not currently amended, but has the incorrect status identifier. The claim identifier has now been corrected with the correct claim status of previously presented.

This response is timely filed. Please proceed to process the Amendment filed April 24, 2008 and with the examination of this application.

LUNDBERG et al.
Serial No. 10/616,224

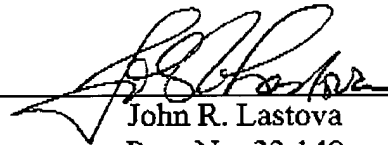
NIXON VANDERHYE PC Fax 703+816+4100

Oct 2 2008 03:39pm P004/016

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED
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In re Patent Application of

LUNDBERG et al.

Atty. Ref.: 4010-30; Confirmation No. 2247

Appl. No. 10/616,224

TC/A.U. 3609

Filed: July 10, 2003

Examiner: Vyas, Abhisek

For: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

* * * * *

October 2, 2008

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AMENDMENT

Responsive to the Official Action dated December 31, 2007 (for which petition is hereby made for a one month extension of time), please amend the above-identified application as follows: